

Identification Newsletter Crime Information Bureau

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....Unrelated to Law Enforcement

Wisconsin Statute 165.83 asks the Department of Justice to “*retain and file fingerprints.*” It also says, “*Compare the fingerprints and descriptions that are received from law enforcement and tribal law enforcement agencies with the fingerprints and descriptions already on file and, if the person arrested or taken into custody is a fugitive from justice or has a criminal record, immediately notify the law enforcement and tribal law enforcement agencies concerned and supply copies of the criminal record to these agencies.*”

The above language describes a criminal history search for law enforcement purposes. CIB is also called on to conduct searches for purposes unrelated to law enforcement. We often refer to the unrelated searches as employment or licensing “background checks.”

CIB’s criminal history search unrelated to law enforcement does not include a search of the state wanted person (fugitive) file; a name search only looks at the Computerized Criminal History file (CCH). A fingerprint-based search uses the Automated Fingerprint Identification System (AFIS) to search for a matching record based on fingerprint characteristics. The copy of the Wisconsin state criminal record provided to the requester is the same as a law enforcement copy except that juvenile records are suppressed. Fingerprint records are also sent to the FBI. The FBI uses their AFIS to conduct a fingerprint characteristics search, and the FBI conducts a name search of the national wanted person file.

At any moment in time, these days, one can find several if not dozens of proposed legislative changes mandating a background check for some occupation or another. A number of new provisions have been proposed nationally in response to the recently published *9/11 Commission Report*.

Many of the recent proposals would provide a way for requesters to access directly the FBI. This isn’t unprecedented: all federal employment checks, banking employees, and airport employees are checked only at the FBI; the Transportation Security Administration in implementing a plan to screen hazardous materials drivers only wants to see results from FBI databases.

Nevertheless, many states, including Wisconsin, are objecting to an FBI-only search. The best search starts with a search of state criminal records, then adds the national record search provided by the FBI. (Arguably, a state search doesn’t add much if the person is a new-comer to this state.) As you know, many non-felony arrests and convictions made in Wisconsin are not forwarded to the FBI. A state search gives a more complete picture of criminal activity.

Most states also charge a fee for conducting a search. These revenues pay for the implementation and operation of fingerprint identification and criminal record compilation. Proposals to by-pass the states will undermine the foundation of the system that contributes records to the FBI – the same system that provides information to law enforcement.

Gerry Coleman, Director

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Special Points of Interest

- Racine Police AFIS Workstation Surpasses 100 Hits
- St. Croix and Pierce County Sheriff Find Unique Use for Fast ID
- How to Contribute Mug Shots to CIB and the FBI
- DOT to Fingerprint Out of State “S” Endorsement Holders



Racine Police Exceed 100 Hits

By *Jim Yoghourtjian*, Criminalist, ID Laboratory, Racine Police Department

Since the installation of the Automated Fingerprint Identification System (AFIS) workstation at the Racine Police Department in February of 2003, the Racine Police Department has searched hundreds of cases. Laboratory Analysts at the RAPD Identification Lab have reported surpassing the 100 hit mark the first week in August of 2004. These 100 positive identifications against the unsolved latent database enumerate the value of this forensic tool. Early on in the "learning process" it was discovered that cases resulting in positive matches were being discarded from the system upon completion rather than being properly closed out. This has subsequently been corrected. Other identification experts that use this AFIS workstation on a regular basis are Detective Jim Jansen of the New Berlin Police Department and Todd Thorne of the Kenosha Police Department. Likewise, they report successes on cases they've searched for their jurisdictions. The Sagem-Morpho AFIS workstation at the Racine Police Department has proven to be a powerful crime fighting tool. To those area agencies that have in-house latent print examiners please remember, *this is a shared workstation*. Gaining access is encouraged and easy. If someone from your agency would like to become a user of this shared workstation, please contact AFIS Operations Manager, Curt Bauer, at the Madison Crime Laboratory 608-261-8122, ext. 2600, or email bauercj@doj.state.wi.us

Did You Know?

Social Security numbers are divided into 3 parts. The first three digits are the area number. If your Social Security number was assigned before 1972 when Social Security cards were issued by local offices, the area number reflects that state where you applied for your number. If your number was assigned in 1972 or later, the area number reflects the state as determined by the *zip code* in the mailing address on your application. The middle two digits are the group number and has no special geographic or data significance.

The last four digits are a serial number, and represents a straight numerical sequence of digits from 0001-9999 within the group. A complete list of Social Security number allocations can be found here <http://www.socialsecurity.gov/foia/stateweb.html>

Electronic Applicant Fingerprint Card Project Update

For approximately 2 years the Crime Information Bureau has been conducting meetings, and planning system changes in order to accept applicants fingerprint cards and send responses electronically. Much work has been accomplished since late 2003, and efforts continue full-steam ahead. Project definitions are complete, and an application specification is being released to vendors so they can create a Wisconsin form. A number of new transactions have been defined, and hundreds of test records have been created and tested. Edit checks and billing routines are being tested today. The results from state and federal searches are successfully being published to user's mailboxes. Within the next month CIB will begin testing with a private vendor, and eventually other state and law enforcement agencies. Here's an example of a search result that returned no state criminal history, and what you can expect to soon see.

The Applicant fingerprint record was successfully received and processed by the WI Crime Information Bureau. The results of the State search indicate no State criminal record was found for the following individual:

Applicant Information

TCN/991040626041

NAM/NOMAD PETUNIA J II

SEX/F RAC/W BIRTHDATE/09/30/1978

REASON FINGERPRINTED/Law Enforcement Applicant

END OF MESSAGE

Fingerprinting Commercial Drivers with Hazardous Materials Endorsements



Beginning in January of 2005, the Wisconsin Department of Transportation (DOT) is required by the Patriot Act to fingerprint commercial drivers that possess a hazardous materials endorsement. This fingerprinting for Hazmat CDL holders must occur every 4 years, and is expected to be staggered over a 4 year term. Realizing law enforcement agencies don't have the resources to fingerprint the approximate 45,000 commercial drivers, DOT issued a request for bid for fingerprinting services, and awarded a contract to Promissor to provide these services.

Applicants may also obtain fingerprinting services from their local law enforcement agency, assuming they provide this service, or other qualified entity. Fees for this service varies from agency to agency. Hazardous materials endorsement holders are not required to use Promissor's services.

Eventually the results of these fingerprint based background checks will be reviewed by the Transportation Safety Administration (TSA), or their designee. Drivers will either be approved or denied an endorsement to carry hazardous materials based upon their criminal history. Historically, Promissor has provided testing services for other Wisconsin agencies like the Office of the Commissioner of Insurance. Today, they have 5 static testing sites in Wisconsin where live scan equipment is being installed. These sites are located in Eau Claire, Elm Grove, Green Bay, Madison and Wausau. Similarly Promissor intends to have 2 mobile units to cover remote areas of the state beginning in January 2005.

Promissor's reservation hours are Monday—Friday from 8-4:30 Central Standard Time (CST). Their toll free number is 1-888-204-6231. Customers may also make a reservation, or find the closest site for Promissor services online. <http://www.asisvcs.com/indhome.asp?CPCAT=DJ50STATEREG>

For additional information, visit DOT's website at <http://www.dot.wisconsin.gov/drivers> or call 608-266-2353.

Live Scan/Card Scan Vendor's Corner

Live scan vendors provide maintenance and support to their Wisconsin customers. CIB frequently communicate with them about system changes, installations, and updates. In an effort to communicate necessary information about tables, configuration changes, and technical changes, we've created this section to publish information we suspect vendors will find of value.

Changes/Enhancements

We've added a simplified email address for contributing criminal answer required (CAR) transactions. Current configurations point to livescan_mail@mail.wiscgsp3.wisconsin.gov We're encouraging vendors to configure new system installations to email CAR transactions to livescan_mail@wdoj.com Today, both of these email addresses are functional.

The final version of the Wisconsin electronic *applicant* specification will be released within 60 days. Vendors are encouraged to configure applicant devices and forms to email *test* records to applicant_test@wdoj.com. However, we're asking vendors preface all test submissions with a telephone call to Sandie Schultz at 608-264-9490 or email schultzsd@doj.state.wi.us A production email for applicants will be published in the December Identification Newsletter.

Troubleshooting Fast ID Searches

While using their Fast ID devices, some agencies have indicated when attempting to conduct a search transaction, they get tossed back to the log on screen. When this occurs, the user should reboot the device *by unplugging it*. Then, wait approximately 1 minute, and plug the device back in. If after a few attempts, the user is still unable to conduct a search call the Morpho Help Desk at 800-526-2674. Assuming the DOJ matchers are not down (the Morpho engineer should be able to tell), *law enforcement agencies may wish to request their time configuration setting be changed* to prevent this behavior from occurring again. This change requires a site visit from a Morpho Engineer. In the event the DOJ matchers be down, it takes approximately 20 minutes for them to reload. This means approximately 20 minutes without service.

Computerized Criminal History (CCH) Database Changes

Wisconsin County courts send CIB disposition information electronically via a Simple Transaction Exchange Protocol (STEP) interface. In instances of multiple charges being disposed, occasionally there are no findings for one or more charges. Previously CCH was configured to display "DISPOSITION NOT REPORTED" when a charge existed with no findings. In August, CCH logic was changed to display these types of findings as "NOT PROSECUTED" instead of "DISPOSITION NOT REPORTED".

Contributing Mug Shot Images to the Crime Information Bureau

A number of agencies have inquired *how* to contribute digital photographs with electronic fingerprint records. This is accomplished by interfacing a digital camera with your live scan device. The live scan device compresses the fingerprints *and* the digital images, and emails them to the Crime Information Bureau in an electronic format. These mug shot images are archived with the demographic information and fingerprints, and will eventually be migrated to a new web enabled imaging system. Access will be provided to those with permission to view, print and download. Today, the following agencies have a mug shot interface and contribute images to the Crime Information Bureau and the FBI: Douglas County Sheriff, Washburn County Sheriff, Rusk County Sheriff, Wood County Sheriff, Fond du Lac County Sheriff, Sheboygan County Sheriff, Ripon Police Department, Sheboygan Police Department, Ozaukee County Sheriff, St. Francis Police Department, West Allis Police Department, and Racine County Sheriff.

CBM Archives of Corpus Christie, Texas was selected as the successful vendor to replace CIB's existing optical archive. Hardware is currently being installed, and data migration has begun. Today, there are more than 1,500,000 mug shot images, fingerprint records, dispositions and other correspondence documents contained in the archive. Approximately 17,000 records are added to the archive each month.

Department of Transportation to Fingerprint Out of State S Endorsements

In accordance with Act 280, the Wisconsin Department of Transportation (DOT) made a decision to begin conducting fingerprint based background checks in February of 2005 for S (school bus) endorsements that originate from individuals who come from *outside the state of Wisconsin*. There are 18,500 total S endorsements; approximately 300 of these would be considered "out of state" and require fingerprinting services. DOT's intention is to point new S endorsements to the same vendor providing fingerprinting services for their hazardous material endorsed commercial drivers. The substantial differences between the background checks for out of state S endorsements and H (hazardous material) endorsements is that DOT will be conducting *state and federal checks*, and reviewing the results for out of state S endorsements.

If you desire additional information please contact Jill Reeve, DOT Program Supervisor, at 608-264-7393 jill.reeve@dot.state.wi.us or Irene Stoll at 608-267-2419 irene.stoll@dot.state.wi.us

CJIS News

The Criminal Justice Information Services (CJIS) Division encourages agencies to submit photographs to the Integrated Automated Fingerprint Identification System (IAFIS) along with arrest information. These photographs are an important tool for criminal justice agencies because they enable police officers with no specialized identification training to recognize criminals. Agencies also use photographs for photo line-ups, wanted person posters and more. Approximately 50,000 photographs are contributed each month to IAFIS along with arrest information.



Criminal justice agencies may submit up to four photographs, which are called a photo set for each arrest. The IAFIS accepts up to 10 photo sets per FBI record. These can include facial pictures, as well scars, marks and tattoos. Agencies may submit photographs as Type-10 records with the following transactions:

- Criminal Ten-Print Submission (Answer Required) (CAR)
- Criminal Ten-Print Submission (No Answer Required) (CNA)
- Amnesia Victim (AMN)
- Known Deceased (DEK)
- Unknown Deceased (DEU)
- Missing Person (MPR)

Plate of Birth (POB) & Country of Citizenship (CTZ) Fields

The CJIS Division personnel reports receiving fingerprint submissions with incorrect information in the Place of Birth (POB) and Country of Citizenship (CTZ) fields. To ensure that system users have an accurate and complete criminal history record and can conduct a thorough background check, CJIS is asking agencies must use the appropriate codes in those fields. Acceptable POB and CTZ values for the state and country codes can be acquired at <http://www.doj.state.wi.us/dles/cibmanuals/> See "Code Manual".

Automating the Name Check Process

Beginning June 6, IAFIS began conducting automated *name checks* on fingerprint submissions that fail image edit checks. When the fingerprint image quality is inferior for a criminal record contributed and IAFIS finds a potential candidate(s) based upon the *name check*, the system now generates an L0008 error it returns to CIB. The message we receive is "L00008-The quality of the characteristics is too low to be used. However, possible candidates were found. Please submit a new set of fingerprints for comparison to the candidate(s)." CIB then contacts the contributing agency via mail with a document indicating a "Technical Return" and asks for the contributor to "Please send a legible fingerprint card, attaching the card along with the enclosed correspondence. State if you would like the card to be returned."

The contributor should submit an improved set of fingerprints for processing by mailing the new fingerprints with the original form attached that cites the error message back to CIB. CIB will then contribute the ten print card to CJIS for an FBI search. Questions about this process can be addressed by Carol Hereford at 608-266-9585 or email herefordca@doj.state.wi.us

Crime Information Bureau Contacts

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Criminal Records	Kathy Lux	608-266-9561	608-261-0660	luxka@doj.state.wi.us
Handgun Hotline	Mary Sturdevant	608-267-2776	608-264-6200	sturdevantmj@doj.state.wi.us
TRAIN	Colleen Seifert	608-266-7792	608-267-1338	CIBTrain@doj.state.wi.us

Check CIB's website for additional information: www.doj.state.wi.us/dles/cib

The Identification Newsletter is distributed to over 800 law enforcement, criminal justice and support agencies throughout Wisconsin. The newsletter is also available on the Internet. Visit <http://www.doj.state.wi.us/dles/cib/> and click on "Forms & Newsletters, CIB Identification Newsletter". Articles for publication and letters to the Editor are encouraged. Send all correspondence to Editor, Wisconsin Department of Justice, Crime Information Bureau, P. O. Box 2718, Madison WI 53701-2718; or Fax 608-267-1338.

